

Mutual Funds

A comprehensive e-learning course on mutual funds and their operations

The themes of this product are:

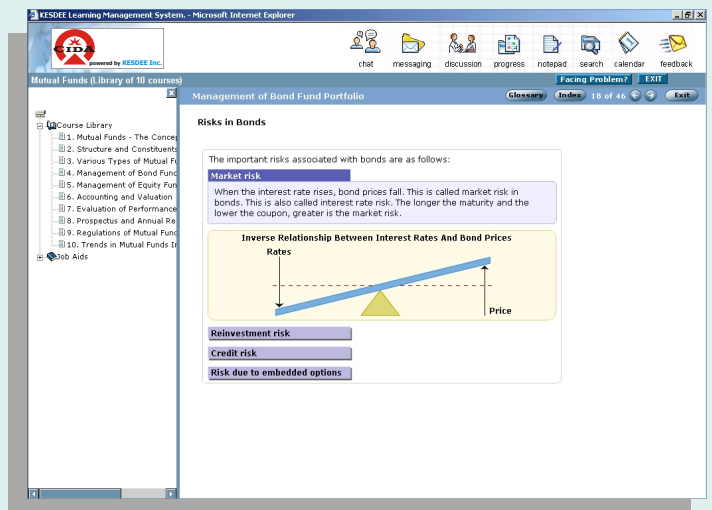
- Fundamentals of mutual funds
- Various aspects of the operations of a mutual funds
- Management of a mutual funds portfolio
- Evaluating the performance of mutual funds

Mutual Funds

Overview

Over the past decades mutual funds have grown intensely in popularity and have experienced a considerable growth rate. Mutual funds are popular because they make it easy for small investors to invest their money in a diversified pool of securities. As the mutual fund industry has evolved over the years, there have arisen many questions about the nature of operations and characteristics of these funds.

This e-learning course on 'Mutual Funds' provides an in-depth coverage of the mutual fund industry and its operations in an interactive format. It is intended to familiarize you with the basic concepts related to mutual funds. The course first provides the fundamentals, explaining what mutual funds are and how they work. The course provides an overall understanding of how equity and bond fund portfolios are managed. Various factors surrounding the performance of mutual funds are then highlighted along with a brief of various standardized performance systems. Finally, the course depicts the recent trends in the mutual funds industry.



This e-learning course is developed in collaboration with Indian Institute of Capital Markets(IICM).

Mutual Funds

Course Level & Number of Courses

Courses: Basic to Intermediate Level
Library of 10 Courses

Courses Instructional Method / Delivery

Type: Self Study Course

Prerequisites:

Fundamentals of the Securities
Industry or equivalent knowledge

Number of CPE Credits

11 Credits

On completion of this course,
you will be conversant with:

- Concept of mutual funds
- Structure and constituents of mutual funds
- Various types of mutual fund products
- Management of bond fund portfolio
- Management of equity fund portfolio
- Accounting and valuation of mutual funds
- Prospectus and Annual Report of mutual funds
- Evaluation of performance of mutual funds
- Regulations of mutual fund Industry
- Trends in mutual fund Industry



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Mutual Funds

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1. Mutual Funds - Concept

- Concept of mutual funds
- Basic types of mutual funds
- Advantages of mutual funds
- Difference between mutual funds and bank deposits

2. Mutual Funds - Structure and Constituents

- Constituents and structure of a mutual fund
- Mutual funds in the USA and elsewhere.
- Difference between a mutual fund and a commercial bank

3. Various types of Mutual Fund products

- Basic classification of mutual funds
- Such other products similar to mutual funds

4. Management of bond fund portfolio

- Investment of bond funds
- Basic characteristics of a bond
- Bond valuation
- Risks in bond investment
- Techniques to enhance bond fund performance
- Managing the bond fund

5. Management of equity fund portfolio

- Equity portfolio
- Types of risks and returns that different asset classes offer
- Equity share
- The value of equity shares
- Three types of equity shares
- Equity research
- Valuation
- Passive and active portfolio management
- Portfolio strategies

A Library of 10 Courses

6. Accounting and valuation of Mutual Funds

- NAV and its importance
- Calculating net asset value
- Valuing the assets of the mutual fund
- The financial statements that are prepared by the mutual fund
- The accounting principles followed by the mutual funds

7. Evaluation of performance of mutual funds

- Measuring fund performance
- Measuring return
- Measuring risk
- Risk-adjusted return
- Comparing fund performance with a reference
- Various standardized performance systems
- Limitations of performance measurement and evaluation

Mutual Funds

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8. Prospectus and Annual Reports of Mutual Fund

- Meaning and importance of mutual fund prospectus
- The contents of a mutual fund prospectus
- The operational aspects an investor should be familiar with
- What does the annual report contain?
- Shareholder expense
- Investment profile
- Statement of assets and liabilities
- Statement of operations
- Statement of changes in net assets
- Financial highlights
- Notes to financial statements

9. Regulations of Mutual Fund Industry

- Contextual significance of mutual fund regulation
- Legal environment of mutual funds
- Supervision and regulation
- Principles of mutual fund regulation Standards
- Rights of investors
- Role of industry players in furthering regulatory objectives
- Market context
- Transparency and disclosure
- Limits on fees and expenses
- Internal governance within mutual fund complexes

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10. Trends in Mutual Fund Industry

- Size and segments of the mutual fund industry
- Competition in the industry
- Factors that have contributed to the industry growth
- Fees and expenses

Job Aids

- Annual Report of Fidelity Fifty
- Annual Report of Vanguard Group

Target Audience

The Course Library is for:

- Entry level analysts
- Institutional salespersons
- Traders, portfolio managers
- Individual investors.

Mutual Funds

Author's Biography



Prof. G. Sethu, holds a B.Tech in Mechanical Engineering from Indian Institute of Technology (IIT), Kharagpur, M.Tech in Mechanical Engineering from IIT, Chennai and a Doctorate in Management from Indian Institute of Management (IIM), Ahmedabad. He has over twenty-eight years of experience in administration, research, consultancy and teaching. He is a professor at the Indian Institute of Capital Markets. He has served as a member of Indian Administrative Service and was a faculty member in finance at XLRI, Jamshedpur for five years.

At XLRI he taught several courses in finance (corporate finance, management control, mergers and acquisitions) in the MBA course. He was also involved in executive teaching and administration besides being the coordinator of Centre for Rural Management. He was a member of DPEP evaluation team set up by the Ministry of HRD. He joined the UTI Institute of Capital Markets as a professor in 1996 and was the dean during 1997-2004.

He is a visiting faculty at IIM, Ahmedabad and IIM, Indore. He has been a visiting faculty member at IIM, Kozhikode, T. A. Pai Management Institute, IIT, Mumbai, Symbiosis Institute of Business Management and several other institutes. He has been a guest faculty member in the executive education programmes at IIM, Bangalore, National Police Academy, National Insurance Academy, State Bank of India, Lal Bahadur Shastri National Academy of Administration, National Academy of Audit and Accounts, Jawaharlal Nehru Institute for Development Banking, and several other institutions.

He has participated in and coordinated consultancy assignments for government and foreign organizations. He is a member of a number of committees in the financial markets. He is a member on the board of directors of OTCEI Securities Limited, UTI Funds Management Limited, ABN AMRO Trustee Limited, and UTI Technology Services. He has served on the board of SBI Funds Management Limited and UTI's Asset Management Committee. He is a member of University of Mumbai's Ad-hoc Board of Studies in Banking and Finance and is an external examiner for University of Wales validated schemes of study. He is on the editorial boards of finance journals and is a reviewer for a number of journals in India and abroad. His areas of research and teaching interests are financial strategy, financial markets and fund management industry.

Available Products

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Product Name	No. of Courses
1. Asset Liability Management	Library of 28 Courses
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4. Financial Mathematics	Library of 07 Courses
5. Global Banking Supervision	Library of 15 Courses
6. Capital Adequacy Planning (Basel I)	Library of 07 Courses
7. Basel-II-University	Library of 63 Courses
8. Operational Risk Management – Basel II	Library of 09 Courses
9. Futures and Forwards	Library of 07 Courses
10. Swaps	Library of 07 Courses
11. Options	Library of 10 Courses
12. Market Risk - Basic	Library of 08 Courses
13. Market Risk - Intermediate	Library of 08 Courses
14. Market Risk - Advanced	Library of 04 Courses
15. Value at Risk	Library of 16 Courses
16. Credit Analysis	Library of 13 Courses
17. Credit Ratings	Library of 03 Courses
18. Counter party Credit Risk	Library of 09 Courses
19. Credit Risk Modeling	Library of 06 Courses
20. Credit Derivatives	Library of 23 Courses
21. Operational Risk Management	Library of 21 Courses
22. Asset Securitization	Library of 28 Courses
23. Asset Liability Management for Insurance Companies	Library of 29 Courses
24. Anti-Money Laundering	Library of 06 Courses
25. Financial Privacy	Library of 06 Courses
26. Corporate Governance	Library of 09 Courses
27. Sarbanes-Oxley Act	Library of 12 Courses
28. Governance, Risk and Compliance	Library of 07 Courses
29. Money Markets	Library of 09 Courses
30. Fixed Income Markets	Library of 17 Courses
31. Equity Markets	Library of 10 Courses
32. Foreign Exchange Markets	Library of 09 Courses
33. Commodity and Energy Markets	Library of 03 Courses
34. CTM - Foreign Exchange Management	Library of 07 Courses
35. CTM - Treasury Analytics	Library of 05 Courses
36. CTM - Interest Rate Risk Management	Library of 04 Courses
37. CTM - Funding and Investments	Library of 05 Courses
38. CTM - Implementation	Library of 04 Courses
39. CTM - Case Studies	Library of 05 Courses
40. Understanding Financial Statements	Library of 02 Courses
41. Budgeting	Library of 05 Courses
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43. Financial Accounting	Library of 09 Courses
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Available Products

KESDEE's Off-the-Shelf e-Learning Course Libraries

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52. BBM - Foreign Exchange Operations	Library of 03 Courses
53. BBM - Trade Finance	Library of 02 Courses
54. BBM - Book Keeping and accounting	Library of 03 Courses
55. BBM - Ancillary Services	Library of 02 Courses
56. BBM - Risk Management	Library of 03 Courses
57. BBM - Technology and Security	Library of 02 Courses
58. BBM - HRM and CSR	Library of 02 Courses
59. BBM - Retail Banking	Library of 03 Courses
60. Introduction to Bank Lending Environment	Library of 07 Courses
61. Basics of Banking	Library of 10 Courses
62. Flotation	Library of 04 Courses
63. Project Valuation	Library of 03 Courses
64. Trading Operation Controls	Library of 04 Courses
65. Economics	Library of 16 Courses
66. Estate Planning	Library of 04 Courses
67. Global Economic Crisis - Liquidity Management	Library of 07 Courses
68. Brokerage Operations	Library of 01 Course
69. Risk Analysis	Library of 05 Courses

* CTM: Corporate Treasury Management

* BBM: Bank Branch Management







Certification Tutorials

1. ePRM Coach	Library of 68 Courses
2. Associate ePRM Coach	Library of 36 Courses
3. eFRM Coach for FRM Part I Exam	Library of 37 Courses
4. eCoach for the CFA® Level I Program	Library of 76 Courses

Upcoming Products

KESDEE has the required technology platform to respond to clients training requirements in the banking and financial services industry. We offer several solutions, each developed with the guidance of creditable experts. Given below are few of the forthcoming products:

- Agricultural Finance

	 Global Financial Training and Technology
5280 Carroll Canyon Road, Suite 220, San Diego; CA 92121, U.S.A	
 +1 858-558-8118	 +1 858-558-8448
 information@kesdee.com, gfttinfo@kesdee.com	 www.kesdee.com, www.gftt.com

For more information, please visit: www.kesdee.com