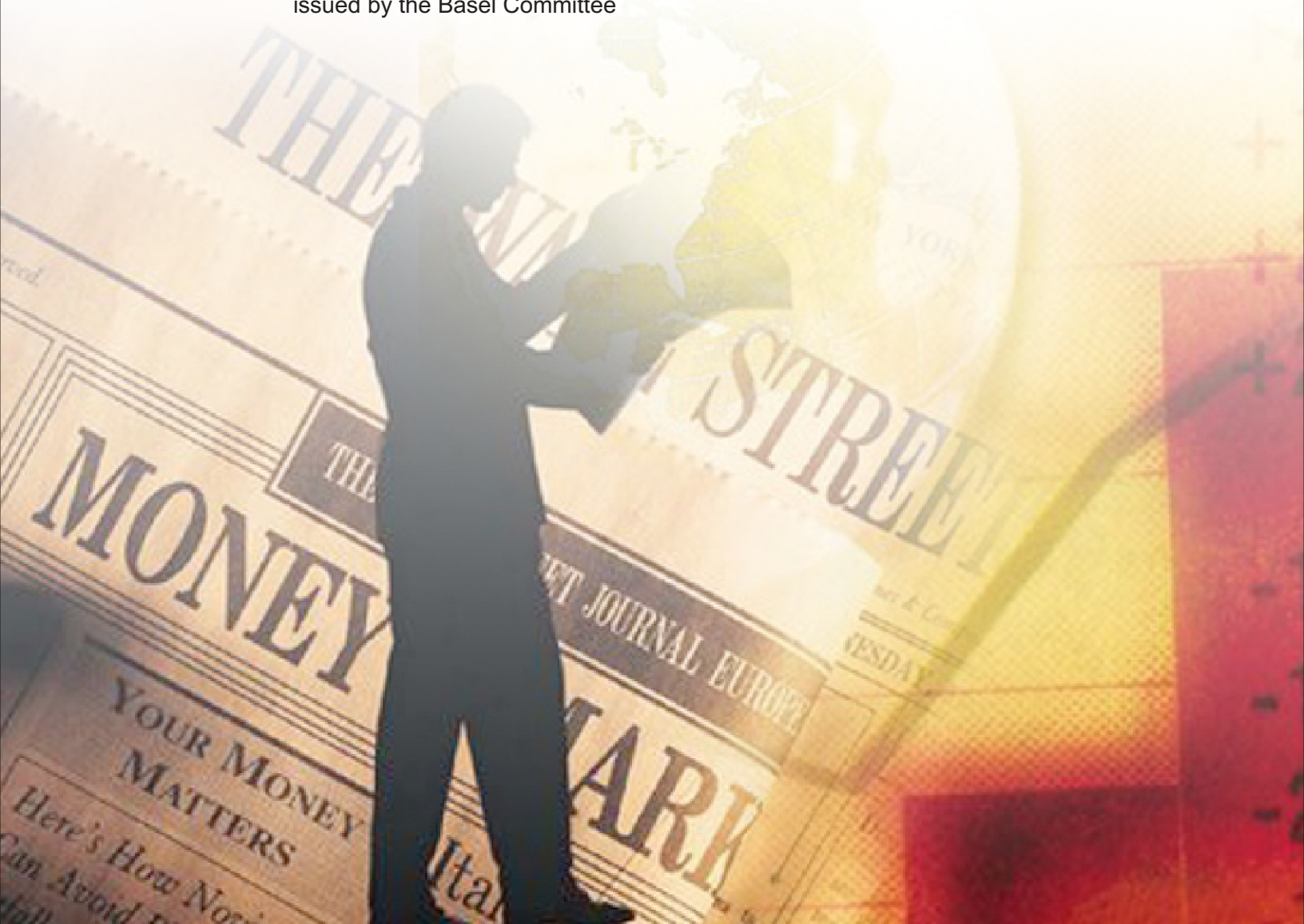


Global Banking Supervision

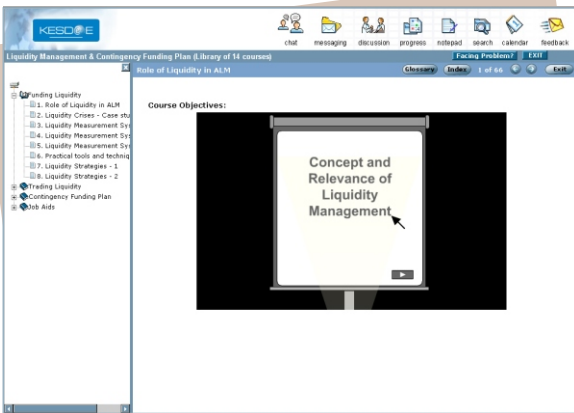
A comprehensive e-learning product with up-to-date coverage of all guidelines issued by the Basel Committee

After completing this course, you will be able to:

- Identify and understand risks inherent in Banking
- Develop a framework to mitigate risks in accordance with the guidelines issued by the Basel Committee



Global Banking Supervision



Course Level & Number of Courses

Intermediate Level
Library of 15 Courses

Instructional Method

Dynamic, Interactive e-learning

Recommended Background

Familiarity with basic financial concepts

Overview

Banks serve unique economic functions and those functions are directly related to their inherent vulnerability. Weaknesses in the banking system of a country, whether developing or developed, can threaten financial stability both within that country and internationally. In today's financial environment, dominated by a dynamic, aggressive financial service industry, banks as major players operate with greater freedom. Banks are exposed to greater financial risks than before due to the appearance of more complex and dynamic transactions that have substantially increased uncertainties. Advances in IT technology, capital movements and geographical extension of banking business have become the new engines of global integration. What seems to be important for banking systems is not the size, but the level of compliance with the internationally accepted rules and standards, and their functionality..

Target Audience

Every professional involved in the global financial services industry (as a provider, user, regulator or advisor of product/services, marketplace/exchange) would benefit from KESDEE's innovative solutions.

- Supervisory Agencies
- Central Banks
- Financial Institutions
- Commercial Banks
- Investment Banks
- Housing Societies/Thriffs
- Mutual Funds
- Brokerage Houses
- Stock Exchanges
- Derivatives Exchanges
- Insurance Companies
- Multinational Corporations
- Accountancy Firms
- Consultancy Firms
- Law Firms
- Rating Agencies
- Multi-lateral Financial Institutions
- Others

The themes of this product are:

- Measurement, Monitoring and Formulation of prudential regulations and requirements to foster the development of a framework to control risks inherent in banking as per the guidelines issued by the Basel Committee on Banking Supervision.

Library of 15 Courses

Time taken to complete each Course: Two - Three hours

1. Core Principles and Methodology

- Before You Begin...
- Introduction
- Preconditions
- Licensing Process and Changes in Structure
- Transfer of a Bank's Shares
- Major Acquisitions or Investments
- Capital Adequacy
- Asset Quality and Reserve
- Concentration of Risks & Large Exposures
- Connected Lending
- Material Risks
- Internal Controls
- Methods of On-Going Banking Supervision
- Information Requirements of Banking Organizations
- Formal Powers of Supervisors
- Cross Border Banking
- Additional Requirements and Self-Assessment

2. Supervisory Self-Assessment

- Before You Begin...
- Introduction
- The Self-Assessment Strategy
- Four Phases of Self-Assessment
- Self-Assessment Report
- Statement of Cooperation between Banking Supervisors

3. Corporate Governance in Banks

- Before You Begin...
- Introduction
- Sound Corporate Governance Practices in Banking
- The Role of Public Disclosure
- Supervisors and Transparency
- Recommendations for Enhancing Bank Transparency
- Supportive Environment to Corporate Governance

4. Internal Control System

- Before You Begin...
- Introduction
- Management Oversight and Control Culture
- Risk Recognition and Assessment
- Control Activities and Segregation of Duties
- Information and Communication
- Monitoring Activities and Correcting Deficiencies
- Audit Function
- Internal Control Deficiencies

5. Internal Audit in Banks

- Before You Begin...
- Introduction
- Objectives and Tasks of the Internal Audit Function
- Principles of Internal Audit
- Functioning of Internal Audit
- Supervisory Authority and Internal & External Auditors
- Audit Committee
- Outsourcing of the Internal Audit

6. Supervisors and External Auditors

- Before You Begin...
- Introduction
- Board and Management
- The Role of External Auditors
- The Role of Bank Supervisor
- Governance and Other Aspects

7. Operational Risk Management

- Before you Begin...
- Introduction
- Risk Management Environment
- Risk Management
- Role of Supervisors
- Role of Disclosure

Library of 15 Courses

8. Liquidity Management

- Before You Begin...
- Introduction
- Developing a Structure
- Measuring and Monitoring Net Funding Requirements
- Review Assumptions
- Managing Market Access
- Contingency Planning
- Foreign Currency Liquidity Management
- Internal Controls
- The Role of Supervisors and Disclosures

9. Credit Risk Management

- Before You Begin...
- Introduction
- Establishing Credit Risk Environment.
- Credit Granting Process
- Credit Administration, Measurement and Monitoring
- Adequate Controls Over Credit Risk
- Role of supervisors

10. Management of Settlement Risk in Foreign Exchange

- Before You Begin...
- Introduction
- The Nature of FX Settlement Risk
- Senior Management Responsibilities
- Duration of FX Settlement Exposure
- Measurement of FX Settlement Exposures
- Setting Limits and Managing Fails
- Contingency Planning
- Use of Bilateral Netting
- Alternative Arrangements
- Internal Audit and Counter Parties
- Role of Supervisors

11. Trading and Derivatives Activities

- Before You Begin...
- Introduction
- Enhancing Transparency
- Quantitative Disclosures
- Qualitative Disclosures

12. Risk Management Principles for E-banking

- Before You Begin...
- Introduction
- Board and Management Oversight
- Security Controls
- Legal and Reputational Risk Management
- Cross-Border E-Banking Activities

13. Loan Accounting and Disclosure

- Before You Begin...
- Introduction
- Foundations for Sound Accounting
- Accounting for Loans
- Public Disclosure
- Role of Supervisors

14. Highly Leveraged Institutions

- Before You Begin...
- Introduction
- Banks' Involvement with HLLs Credit Risk Strategy
- Information Gathering, Due Diligence and Credit Analysis
- Limit setting
- Collateral, Early Termination and Other Contractual Provisions
- Ongoing Monitoring of Positions vis-à-vis HLLs
- Specific Actions by Banks to Implement Sound Practices

15. Dealing with weak banks

- Before You Begin...
- Introduction
- Weak Banks and Problems
- Preconditions
- Channels for Information about Weaknesses
- Corrective Action
- Types of Weaknesses
- Resolution and Exit

JOB AIDS

- Core Principles and Methodology
- Corporate Governance in Banks
- Credit Risk Management
- Dealing with weak banks
- Highly leveraged institutions
- Internal Control Systems
- Loan Accounting and Disclosure
- Management and Supervision of Operational Risk
- Sound International Banking Supervision
- Risk Management for E-banking
- Settlement risk in foreign exchange transactions
- Sound practices for managing liquidity
- Supervisors and External Auditors
- Supervisors and Internal Auditors
- Supervisory Self-Assessment
- Trading and Derivatives Activities
- Sound International Banking Supervision

KESDEE's Off-the-Shelf e-Learning Course Libraries

Product Name	No. of Courses
1. Asset Liability Management	Library of 28 Courses
2. Liquidity Management and Contingency Funding Plan	Library of 14 Courses
3. Financial Institution Analysis - CAMELS Approach	Library of 08 Courses
4. Financial Mathematics	Library of 07 Courses
5. Global Banking Supervision	Library of 15 Courses
6. Capital Adequacy Planning (Basel I)	Library of 07 Courses
7. Basel-II-University	Library of 63 Courses
8. Operational Risk Management – Basel II	Library of 09 Courses
9. Futures and Forwards	Library of 07 Courses
10. Swaps	Library of 07 Courses
11. Options	Library of 10 Courses
12. Market Risk - Basic	Library of 08 Courses
13. Market Risk - Intermediate	Library of 08 Courses
14. Market Risk - Advanced	Library of 04 Courses
15. Value at Risk	Library of 16 Courses
16. Credit Analysis	Library of 13 Courses
17. Credit Ratings	Library of 03 Courses
18. Counter party Credit Risk	Library of 09 Courses
19. Credit Risk Modeling	Library of 06 Courses
20. Credit Derivatives	Library of 23 Courses
21. Operational Risk Management	Library of 21 Courses
22. Asset Securitization	Library of 28 Courses
23. Asset Liability Management for Insurance Companies	Library of 29 Courses
24. Anti-Money Laundering	Library of 06 Courses
25. Financial Privacy	Library of 06 Courses
26. Corporate Governance	Library of 09 Courses
27. Sarbanes-Oxley Act	Library of 12 Courses
28. Governance, Risk and Compliance	Library of 07 Courses
29. Money Markets	Library of 09 Courses
30. Fixed Income Markets	Library of 17 Courses
31. Equity Markets	Library of 10 Courses
32. Foreign Exchange Markets	Library of 09 Courses
33. Commodity and Energy Markets	Library of 03 Courses
34. CTM - Foreign Exchange Management	Library of 07 Courses
35. CTM - Treasury Analytics	Library of 05 Courses
36. CTM - Interest Rate Risk Management	Library of 04 Courses
37. CTM - Funding and Investments	Library of 05 Courses
38. CTM - Implementation	Library of 04 Courses
39. CTM - Case Studies	Library of 05 Courses
40. Understanding Financial Statements	Library of 02 Courses
41. Budgeting	Library of 05 Courses
42. Management Accounting	Library of 07 Courses
43. Financial Accounting	Library of 09 Courses
44. Mutual Funds	Library of 10 Courses
45. Financial Planning	Library of 09 Courses
46. UCP600	Library of 07 Courses
47. International Trade Services	Library of 09 Courses
48. BBM - Deposits	Library of 04 Courses
49. BBM - Advances	Library of 07 Courses
50. BBM - Marketing	Library of 03 Courses

Available Products

KESDEE's Off-the-Shelf e-Learning Course Libraries

Product Name	No. of Courses
51. BBM - Payment and Settlement System	Library of 02 Courses
52. BBM - Foreign Exchange Operations	Library of 03 Courses
53. BBM - Trade Finance	Library of 02 Courses
54. BBM - Book Keeping and accounting	Library of 03 Courses
55. BBM - Ancillary Services	Library of 02 Courses
56. BBM - Risk Management	Library of 03 Courses
57. BBM - Technology and Security	Library of 02 Courses
58. BBM - HRM and CSR	Library of 02 Courses
59. BBM - Retail Banking	Library of 03 Courses
60. Introduction to Bank Lending Environment	Library of 07 Courses
61. Basics of Banking	Library of 10 Courses
62. Flotation	Library of 04 Courses
63. Project Valuation	Library of 03 Courses
64. Trading Operation Controls	Library of 04 Courses
65. Economics	Library of 16 Courses
66. Estate Planning	Library of 04 Courses
67. Global Economic Crisis - Liquidity Management	Library of 07 Courses
68. Brokerage Operations	Library of 01 Course
69. Risk Analysis	Library of 05 Courses

* CTM: Corporate Treasury Management

* BBM: Bank Branch Management

Certification Tutorials

1. ePRM Coach	Library of 68 Courses
2. Associate ePRM Coach	Library of 36 Courses
3. eFRM Coach for FRM Part I Exam	Library of 37 Courses
4. eCoach for the CFA® Level I Program	Library of 76 Courses

Upcoming Products

KESDEE has the required technology platform to respond to clients training requirements in the banking and financial services industry. We offer several solutions, each developed with the guidance of creditable experts. Given below are few of the forthcoming products:

- Agricultural Finance



GFTT

Global Financial Training and Technology

5280 Carroll Canyon Road, Suite 220, San Diego; CA 92121, U.S.A

+1 858-558-8118

+1 858-558-8448



information@kesdee.com,
gfttinfo@kesdee.com



www.kesdee.com,
www.gftt.com

For more information, please visit: www.kesdee.com