

Governance, Risk and Compliance

Your one stop guide to current theory and practice of governance, risk and compliance

The screenshot shows a web browser window titled "KESD@E Learning Management System. - Microsoft Internet Explorer". The page content includes:

- Navigation Bar:** chat, messaging, discussion, progress, notepad, search, calendar, feedback.
- Course Title:** Governance, Risk and Compliance - Demystified
- Navigation:** Glossary, Index, 2 of 32, Exit
- Course Library (Left):**
 - 1. Classification of Risk
 - 2. Introduction to ERM and its Fr
 - 3. Regulatory Landscape
 - 4. Governance, Risk and Compl
 - 5. COSO and CoBIT in Support o
 - 6. Operational Risk Managemen
 - 7. GRC - Case Study
- Introduction:** To begin, it is important that the definitions of "governance", "risk" and "compliance" are described. In doing so, we will also reflect or position governance, risk and compliance (or GRC, for short) in terms of their entity contributing drivers.
- Diagram:** A pyramid with three levels: Governance (top), Risk (middle), and Compliance (bottom). The pyramid is centered within a circular frame. The top arc of the circle is labeled "STAKEHOLDER EXPECTATIONS". The bottom arc is labeled "ETHICAL CULTURE". Two curved arrows on the left side of the circle are labeled "EMBLING CULTURE, PROCESS & TECHNOLOGY". Two curved arrows on the right side are labeled "EMERGING STANDARDS & NEW REQUIREMENTS". Below the pyramid, the text "Extended Enterprise & Value Chain" is visible.
- Text below diagram:** Click on each section of the pyramid for more details.

Governance, Risk and Compliance

Overview

In recent times there has been a significant shift in financial institutions worldwide in terms of how they approach corporate governance, risk management and regulatory compliance. There continues to be increasing stakeholder demands and growing public scrutiny resulting from spectacular failures around the globe. Governance, Risk Management, and Compliance, or in short GRC, has evolved from managing risk as a transaction or compliance activity to adding business value by improving operational decision making and strategic planning.

This e-learning library on 'Governance, Risk & Compliance' comprises of courses that provide knowledge and an understanding related to the subjects of "governance", "risk" and "compliance" as applicable to the banking sector.

Course level: Basic to Intermediate

Library of 7 Courses

Time taken for each course - 1.0 Hour

Target Audience

Geared to audience from the Banking sector, this library of courses addresses the essential knowledge requirements of individuals from Operations, Control, Auditing, Compliance, Operational Risk Management, as well as Information Technology (IT) Security personnel.

Service providers to banks will also find these courses very relevant in establishing a basis of appreciation of their client's needs, related methods and capabilities.

Value Added Features:

Audio Clips:

Voice over of the concepts providing a classroom atmosphere.

Case Studies:

Application of SOX, COSO and other Guidelines in a Corporate environment

Governance, Risk and Compliance

A Library of 7 e-Learning Courses

1. Classification of Risks

- Main types of risk classes that banks face and have to cope with
- Salient characteristics of the main risk classes
- Fundamental concepts of each of the risk classes

2. Introduction to ERM and its Frameworks

- Benefits and value of ERM deployment in and across an entity
- Key concepts of Enterprise Risk Management (ERM)
- Steps necessary to quantify ERM
- ERM frameworks

3. Regulatory Landscape

- Major trends in the global regulatory landscape
- Key regulations that impact (transnational) finance institutions
- Key characteristics of the more recently announced holistic regulatory changes and new legislature

4. Governance, Risk and Compliance - Demystified

- Overview of 'governance', 'risk' and 'compliance' (i.e., GRC)
- Principles and benefits associated to governance, risk and compliance
- GRC integrated approaches and their key enablers

5. COSO and CobiT in Support of GRC Needs

- Key concepts to the COSO and CobiT frameworks for enterprise risk management
- Methods and capabilities defined by both, COSO and CobiT

6. Operational Risk Management Primer

- The driving factors behind operational risk management
- Basel II approaches and key constructs for operational risk
- High level methods and capabilities of a staged implementation for operational risk

7. GRC - Case Study

- Selective best practices from COSO, CobiT and Basel II operational risk management
- Advantages and limitation of certain aspects of ERM frameworks
- Regulatory expectations on listed companies in developed and leading countries
- Building an effective, holistic governance, risk and compliance model across an organization

Available Products

KESDEE's Off-the-Shelf e-Learning Course Libraries

Product Name	No. of Courses
1. Asset Liability Management	Library of 28 Courses
2. Liquidity Management and Contingency Funding Plan	Library of 14 Courses
3. Financial Institution Analysis - CAMELS Approach	Library of 08 Courses
4. Financial Mathematics	Library of 07 Courses
5. Global Banking Supervision	Library of 15 Courses
6. Capital Adequacy Planning (Basel I)	Library of 07 Courses
7. Basel-II-University	Library of 63 Courses
8. Operational Risk Management – Basel II	Library of 09 Courses
9. Futures and Forwards	Library of 07 Courses
10. Swaps	Library of 07 Courses
11. Options	Library of 10 Courses
12. Market Risk - Basic	Library of 08 Courses
13. Market Risk - Intermediate	Library of 08 Courses
14. Market Risk - Advanced	Library of 04 Courses
15. Value at Risk	Library of 16 Courses
16. Credit Analysis	Library of 13 Courses
17. Credit Ratings	Library of 03 Courses
18. Counter party Credit Risk	Library of 09 Courses
19. Credit Risk Modeling	Library of 06 Courses
20. Credit Derivatives	Library of 23 Courses
21. Operational Risk Management	Library of 21 Courses
22. Asset Securitization	Library of 28 Courses
23. Asset Liability Management for Insurance Companies	Library of 29 Courses
24. Anti-Money Laundering	Library of 06 Courses
25. Financial Privacy	Library of 06 Courses
26. Corporate Governance	Library of 09 Courses
27. Sarbanes-Oxley Act	Library of 12 Courses
28. Governance, Risk and Compliance	Library of 07 Courses
29. Money Markets	Library of 09 Courses
30. Fixed Income Markets	Library of 17 Courses
31. Equity Markets	Library of 10 Courses
32. Foreign Exchange Markets	Library of 09 Courses
33. Commodity and Energy Markets	Library of 03 Courses
34. CTM - Foreign Exchange Management	Library of 07 Courses
35. CTM - Treasury Analytics	Library of 05 Courses
36. CTM - Interest Rate Risk Management	Library of 04 Courses
37. CTM - Funding and Investments	Library of 05 Courses
38. CTM - Implementation	Library of 04 Courses
39. CTM - Case Studies	Library of 05 Courses
40. Understanding Financial Statements	Library of 02 Courses
41. Budgeting	Library of 05 Courses
42. Management Accounting	Library of 07 Courses
43. Financial Accounting	Library of 09 Courses
44. Mutual Funds	Library of 10 Courses
45. Financial Planning	Library of 09 Courses
46. UCP600	Library of 07 Courses
47. International Trade Services	Library of 09 Courses
48. BBM - Deposits	Library of 04 Courses
49. BBM - Advances	Library of 07 Courses
50. BBM - Marketing	Library of 03 Courses

Available Products

KESDEE's Off-the-Shelf e-Learning Course Libraries

Product Name	No. of Courses
51. BBM - Payment and Settlement System	Library of 02 Courses
52. BBM - Foreign Exchange Operations	Library of 03 Courses
53. BBM - Trade Finance	Library of 02 Courses
54. BBM - Book Keeping and accounting	Library of 03 Courses
55. BBM - Ancillary Services	Library of 02 Courses
56. BBM - Risk Management	Library of 03 Courses
57. BBM - Technology and Security	Library of 02 Courses
58. BBM - HRM and CSR	Library of 02 Courses
59. BBM - Retail Banking	Library of 03 Courses
60. Introduction to Bank Lending Environment	Library of 07 Courses
61. Basics of Banking	Library of 10 Courses
62. Flotation	Library of 04 Courses
63. Project Valuation	Library of 03 Courses
64. Trading Operation Controls	Library of 04 Courses
65. Economics	Library of 16 Courses
66. Estate Planning	Library of 04 Courses
67. Global Economic Crisis - Liquidity Management	Library of 07 Courses
68. Brokerage Operations	Library of 01 Course
69. Risk Analysis	Library of 05 Courses

* CTM: Corporate Treasury Management

* BBM: Bank Branch Management

Certification Tutorials

1. ePRM Coach	Library of 68 Courses
2. Associate ePRM Coach	Library of 36 Courses
3. eFRM Coach for FRM Part I Exam	Library of 37 Courses
4. eCoach for the CFA® Level I Program	Library of 76 Courses

Upcoming Products

KESDEE has the required technology platform to respond to clients training requirements in the banking and financial services industry. We offer several solutions, each developed with the guidance of creditable experts. Given below are few of the forthcoming products:

- Agricultural Finance



GFTT

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